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SECTOR

# Docket No. B0023-US01 FEB 0 5 2332

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HLAVINKA, et al.

Serial No.: 10/005,431

Filed: 11/02/2001

For: Fluid Separation Systems and/or Methods Using A Fluid Pressure-Driven and/or **Balanced Configuration** 

Customer Number: 24994

Group Art No: 1724

Examiner: Not yet assigned

#### CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I hereby certify that the following documents:

- 1. Certificate of Express Mailing (1 page)
- 2. Transmittal Form (1 page)
- 3. Fee Transmittal for FY2002 with Deposit Account No. and a duplicate (2 pages)
- 4. Copy of Notice to File Missing Parts of Nonprovisional Application (1 page)
- 5. Response to Notice to File Missing Parts of Nonprovisional Application (1 page)
- 6. Declaration for Utility or Design Patent Application (4 pages)
- 7. Power of Attorney executed by Dennis J. Hlavinka (1 page)
- 8. Power of Attorney executed by Thomas J. Felt (1 page)
- 9. Return Card

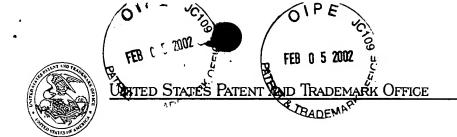
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/005,431

11/02/2001

Dennis J. Hlavinka

B0023-US01

24994 GAMBRO, INC PATENT DEPARTMENT 10810 W COLLINS AVE LAKEWOOD, CO 80215

**CONFIRMATION NO. 8553 FORMALITIES LETTER** 

\*OC000000007252071\*

Date Mailed: 01/02/2002

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2002 SZEUDIE1 00000026 032316 10005431

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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/005431

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**Application** 

TRANSMITTAL FORM  (to be used for all correspondence after initial filing)		Filing Date	11/02/2001							
		First Named	HLAVINKA, et al.							
		Group Art Unit	1724							
		Examiner Name	Not yet assigned							
Total Number of Pages in This Submission 12	2	Attorney Docket Number	B0023-US01							
ENCLOSURES (check all that apply)										
Fee Transmittal Form  Fee Attached  Di  Amendment / Response  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement	or an Approximation of the common of the com	ent Papers application) s) p-related Papers o Convert a al Application Attorney, Revocation of Correspondence Disclaimer for Refund aber of CD(s)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):  Certificate of Express Mailing, Copy of Notice to File Missing Parts of Nonprovisional Appl., Declarations for Utility or Design Patent Appl., Powers of Attorney, Return caard.							
OLONATURE OF ARI	DI ICA	NT ATTORNEY OF ACENT	<del>-</del>							
		NT, ATTORNEY, OR AGENT	<u> </u>							
Firm or Individual name  Signature  Peter B. Scull, Reg. No. 37,932 (		(1)								
Date February 5, 2002										
CERTIFICATE OF MAILING										
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:  EV 008327848 US										
Typed or printed name Venmin Hughar										

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

February 5, 2002

Date

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to espond to a collection of information unless it displays a valid OMB control number.

Under the perwork Reduction Act of 1995, no perfect the TRANSM for FY 200	ITTAI	Co		
for EV 200	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Application Number	10/005431	
101 F 1 200	<b>J</b> Z [	Filing Date	11/02/2001	
Patent fees are subject to annual re	evision.	First Named Inventor	HLAVINKA, et al.	
Applicant claims small entity status. Se	ee 37 CFR 1.27	Examiner Name	Not yet assigned	
		Group Art Unit	1724	
TOTAL AMOUNT OF PAYMENT	\$130.00	Attorney Docket No.	B0023-US01	1

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit card Money Other None	3. ADDITIONAL FEES							
Deposit Account:	Large Entity   Small Entity   Fee   Fee							
Deposit Account 03-2316	Code 105	(\$)	Code 205	(\$)	Fee Description Surcharge - late filing fee or oath	Fee Paid		
Number 03 2310	127	50	203		Surcharge - late provisional filing fee or cover	130.00		
Deposit Account Gambro, Inc.					sheet			
Name Samoro, me	139	130	139		Non - English specification			
The Commissioner is authorized to: (check all that apply)	1	2,520	1		For filing a request for <i>ex parte</i> reexamination  Requesting publication of SIR prior to Examiner			
Charge fee(s) indicated below Credit any overpayments	112	920*	112	920*	action			
Charge any additional fee(s) during the pendency of this application	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.	115	110	215	55	Extension for reply within first month			
FEE CALCULATION	116	400	216	200	Extension for reply within second month			
1. BASIC FILING FEE	117	920	217	460	Extension for reply within third month			
Large Entity   Small Entity	118	1,440	218	720	Extension for reply within fourth month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228	980	Extension for reply within fifth month			
101 740 201 370 Utility filing fee	119	320	219	160	Notice of Appeal			
106 330 206 165 Design filing fee	120	320	220	160	Filing a brief in support of an appeal			
107 510 207 255 Plant filing fee	121	280	221	140	Request for oral hearing			
108 740 208 370 Reissue filing fee		1,510		1,510	Petition to institute a public use proceeding			
114 160 214 80 Provisional filing fee	140	110	240		Petition to revive - unavoidable			
SUBTOTAL (1)		1,280	241	640	Petition to revive - unintentional			
2. EXTRA CLAIM FEES FOR UTILITY AND		1,280	242		Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143 144	460	243		Design issue fee			
Total Claims		620 130	122		Plant issue fee Petitions to the Commissioner			
Independent - 3** = 0 X = 0.00	122 123	50	123					
Multiple Dependent =	126	180	126	180	Submission of Information Disclosure			
Large Entity   Small Entity   Fee Fee Fee Fee Fee Description					Statement			
Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)			
103 18 203 9 Claims in excess of 20	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
102 84 202 42 Independent claims in excess of 3	149	740	249	370				
104 280 204 140 Multiple dependent claim, if not paid	470	740	270	270	(37 CFR § 1.129(b)) Request for Continued Examination (RCE)			
109 84 209 42 ** Reissue independent claims over original patent	179 169	740 900	279 169	900	Request for expedited examination (RCE)			
110 18 210 9 ** Reissue claims in excess of 20	109	300	109	900	of a design application			
and over original patent		er fee (	(specify)	·	<del></del>	L		
SUBTOTAL (2) \$0.00								
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$130.00							
SUBMITTED BY  Complete (if applicable)								
Name (Print/Type) Peter B_Scull	Registration No. 37932 Telephone 303-205-2560			560				
reter b scan	1	Attorne	y/Agent)		31732 Telephone 303-203-2			

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HLAVINKA, et al.

Serial No.: 10/005,431

Filed: 11/02/2001

For: Fluid Separation Systems and/or Methods Using A Fluid Pressure-Driven and/or

**Balanced Configuration** 

Customer Number: 24994

Box Missing Parts Commissioner For Patents Washington, DC 20231

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir,

In response to the Notice to File Missing Parts mailed January 2, 2002, I have enclosed two executed Declarations and Powers of Attorney. Please charge the \$130.00 surcharge fee and any other fees applicable to the filing of these documents to deposit account no. 03-2316.

Date

Respectfully submitted,

Group Art No: 1724

Examiner: Not yet assigned

Peter B. Scull

Registration No: 37932

Gambro, Inc.

10810 W. Collins Ave.

Lakewood, Colorado 80215

303-205-2560

Attachment